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BSA/Aml Update
Kurt Mattson

This newsletter provides you with the most current BSA/AML information twice a month, covering regulatory changes and hot court cases.

$ Newsletter, 24 issues per year, Pub. #04725, ISBN 9780769877822 eISBN 9780769879246

Guide to Anti-Money Laundering and BSA Compliance
Jeffrey R. Torp

This Guide provides a straightforward discussion of the laws and regulations from the USA PATRIOT Act, which the federal government and financial agencies have enacted and continue to use, update and clarify. The guide identifies high-risk areas, products and transactions to help you determine specific activities on which to focus, and includes regulatory summaries, highlighted high-risk areas, restrictions and detailed checklists of key requirements.

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Dena Somers

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BSA/Anti-Money Laundering: Internal Audit and Risk Management
Dena Somers

This guide provides information to help your financial institution establish and implement risk-based policies, procedures and processes to comply with the most recent regulatory release of the BSA/AML Examination Manual. It also contains the latest information on topics such as electronic banking, changes to BSA reporting requirements and OFAC compliance.

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CORE COMPLIANCE

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Newsletter, 12 issues per year, Pub. #04708, ISBN 9780769877655
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2 volumes, loose-leaf with downloadable content, updated twice per year, Pub. #04745, ISBN 9780769878027
eISBN 9780769879444

Compliance Officer’s Management Manual
Joy B. Boone

Keeping up with ongoing compliance changes and ensuring your programs remain effective can leave you little time for anything else. Plus, you need to be sure that every employee knows what to do to help the bank stay in compliance. This comprehensive resource provides: clear and easy-to-follow compliance guidance; detailed coverage of each regulation and its purpose, requirements, exemptions and liabilities for noncompliance; compliance responsibilities by function; compliance checklists; audit procedures; training guidelines; and sample forms and reports. It’s an essential resource for compliance officers.

2 volumes, loose-leaf with downloadable content, updated twice per year, Pub. #04746, ISBN 9780769878034
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Robert E. Braun

Written by industry veteran Robert E. Braun, this Guide delivers analysis for each consumer regulation in its entirety, including summaries and the effect of that regulation on different functions within a financial institution. It provides practical application for operations, procedures, compliance, disclosures, notices, marketing, advertising and record retention.

1 volume, loose-leaf with downloadable content, updated twice per year, Pub. #04796, ISBN 9780769878539
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Compliance Examinations Update for Financial Institutions
James H. Pannabecker

Compliance Examinations Update reports on institutions’ liabilities for noncompliance, makes you aware of the consequences and specifies what it takes to put the bank back in compliance. Each article is presented as a case study covering an important compliance issue.

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*Joy B. Boone*

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CREDIT UNION COMPLIANCE

Compliance Guide for Credit Unions

Jeffrey R. Torp

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Robert E. Braud

Running a credit union’s operations is getting more complex all the time. As your credit union membership grows and changes, you may offer more products and services. You may try new channels for serving your members, such as remote locations or mobile banking. You have to make sure every product and service you offer stays in compliance with the ever-changing laws and regulations, such as Reg E, Truth in Savings Act, Fair Lending, and the Bank Secrecy Act.

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Credit Union Regulatory Compliance Report

Joy B. Boone

This is every credit unions’ best tool for keeping up with and understanding the implications as compliance issues change. It’s written specifically for credit unions in a fast-reading format that delivers the information you really need. Stay focused on what’s important to you and get the information you need without being overwhelmed by all the information that’s out there.

Newsletter, 12 issues per year, Pub. #04751, ISBN 9780769878089 eISBN 9780769879505

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Joy B. Boone

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• Development of an audit program
• Detailed audit programs you can adapt for your credit union
• How to write an audit report
• Sample reports
• Sample work papers

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Robert E. Braun

The easiest way for credit unions to keep every marketing effort and product in compliance. Both your members and examiners want more. Members want more products and services, like share draft accounts, ATMs, home credit union services and other new services. Meanwhile, Congress and the regulators have given you a lot more consumer rules and regulations to follow, and a lot more attention is being paid to how well you comply.

S 1 volume, loose-leaf with downloadable content, updated twice per year, Pub. #04777, ISBN 9780769878348 eISBN 9780769879765

Policies and Procedures for Credit Unions

Joy B. Boone

A comprehensive credit union policies and procedures manual that covers all the functional areas of credit unions—operations, lending, finance/treasury, and administration. You get specific, sample credit union policies and procedures for every appropriate area, like fair lending, A/L management and personnel to satisfy the examiners and help management achieve its goals. You can use them to develop policies and procedures tailored to fit your credit union.

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Jeffrey R. Torp

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- A risk assessment matrix to help you easily assess and record your credit union’s specific level of risk for the various compliance requirements as they become effective
- Assessments that allow you to assign a risk rating, thus prioritizing your credit union’s needs and maximizing your resources
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Lorraine Hyde

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Gary Nesbitt

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Barkey Clark, Barbara Clark, Mark Hargrave

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- ACH transactions
- Check 21 Act and

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Geoffrey H. Wold

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1 volume, softbound, Pub. #02438, ISBN 9781522115472
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Geoffrey H. Wold

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1 volume, loose-leaf with downloadable content, updated twice per year, Pub. #04767, ISBN 9780769878249
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TRUST

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Michael J. Craw

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Michael J. Craw

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