TABLE OF CONTENTS

Page TITLE 18. CRIMES AND CRIMINAL PROCEDURE SENTENCING GUIDELINES FOR THE UNITED STATES COURTS 18 USCS APPENDIX Chapter ONE. Introduction, Authority and General Application Principles Part A. Introduction and Authority, § 1A3.1.... Part B. General Application Principles, §§ 1B1.1 through 1B1.13 10 Chapter TWO. Offense Conduct 29 Part A. Offenses Against the Person, §§ 2A1.1 through 2A6.2..... Part B. Basic Economic Offenses, §§ 2B1.1 through 2B6.1.... 44 Part C. Offenses Involving Public Officials and Violations of Federal Election Campaign Laws, §§ 2C1.1 through 2C1.8..... 69 Part D. Offenses Involving Drugs and Narco-Terrorism, §§ 2D1.1 through 2D3.5 74 Part E. Offenses Involving Criminal Enterprises and Racketeering, §§ 2E1.1 through 2E5.6 103 Part F. Deleted..... 107 Part G. Offenses Involving Commercial Sex Acts, Sexual Exploitation of Minors, and Obscenity, §§ 2G1.1 through 2G3.2.... 108 Part H. Offenses Involving Individual Rights, §§ 2H1.1 through 2H4.2..... 117 Part J. Offenses Involving the Administration of Justice, §§ 2J1.1 through 2J1.9 121 Part K. Offenses Involving Public Safety, §§ 2K1.1 through 2K3.2..... 125 Part L. Offenses Involving Immigration, Naturalization, and Passports, §§ 2L1.1 through 2L2.5. 136 Part M. Offenses Involving National Defense and Weapons of Mass Destruction, §§ 2M1.1 through 2M6.2 142 Part N. Offenses Involving Food, Drugs, Agricultural Products, Consumer Products, and Odometer Laws, §§ 2N1.1 through 2N3.1..... 148 Part P. Offenses Involving Prisons and Correctional Facilities, §§ 2P1.1 through 2P1.4..... 149 Part Q. Offenses Involving the Environment, §§ 2Q1.1 through 2Q2.2..... Part R. Antitrust Offenses, § 2R1.1. Part S. Money Laundering and Monetary Transaction Reporting, §§ 2S1.1 through 2S1.4 157 Part T. Offenses Involving Taxation, §§ 2T1.1 through 2T4.1..... 160 Part X. Other Offenses, §§ 2X1.1 through 2X7.2.... 166 Chapter THREE. Adjustments..... 171 Part A. Victim-Related Adjustments, §§ 3A1.1 through 3A1.5..... 171 Part B. Role in the Offense, §§ 3B1.1 through 3B1.5.... 174 Part C. Obstruction and Related Adjustments, §§ 3C1.1 through 3C1.4..... 178 Part D. Multiple Counts, §§ 3D1.1 through 3D1.5.... 180 Part E. Acceptance of Responsibility, § 3E1.1.... 187 Chapter FOUR. Criminal History and Criminal Livelihood..... 189 Part A. Criminal History, §§ 4A1.1 through 4A1.3.... 189 Part B. Career Offenders and Criminal Livelihood, §§ 4B1.1 through 4B1.5...... 196 Chapter FIVE. Determining the Sentence 202 Part A. Sentencing Table. 202 Part B. Probation, §§ 5B1.1 through 5B1.4.... 203 Part C. Imprisonment, §§ 5C1.1 through 5C1.2.... 207 Part D. Supervised Release, §§ 5D1.1 through 5D1.3....

TABLE OF CONTENTS

Page Part E. Restitution, Fines, Assessments, Forfeitures, §§ 5E1.1 through 5E1.5..... Part F. Sentencing Options, §§ 5F1.1 through 5F1.8..... 218 Part G. Implementing the Total Sentence of Imprisonment, §§ 5G1.1 through 5G1.3 221 Part H. Specific Offender Characteristics, §§ 5H1.1 through 5H1.12..... 226 230 Part J. Relief from Disability, § 5J1.1.... Part K. Departures, §§ 5K1.1 through 5K3.1.... 231 Chapter SIX. Sentencing Procedures, Plea Agreements, and Crime Victims' Rights...... Part A. Sentencing Procedures, §§ 6A1.1 through 6A1.5 Part B. Plea Agreements, §§ 6B1.1 through 6B1.4.... Chapter SEVEN. Violations of Probation and Supervised Release..... 243 Part A. Introduction to Chapter Seven, §§ 1 through 5..... 243 Part B. Probation and Supervised Release Violations, §§ 7B1.1 through 7B1.5..... 246 Chapter EIGHT. Sentencing of Organizations 249 Part A. General Application Principles, §§ 8A1.1, 8A1.2..... 250 Part B. Remedying Harm from Criminal Conduct, and Effective Compliance and Ethics Program, §§ 8B1.1 through 8B2.1 252 Part C. Fines, §§ 8C1.1 through 8C4.11 256 Part D. Organizational Probation, §§ 8D1.1 through 8D1.5..... 267 Part E. Special Assessments, Forfeitures, and Costs, §§ 8E1.1 through 8E1.3..... 269 Part F. Violations of Probation—Organizations, § 8F1.1..... 269 Appendix A—Statutory Index 269 FEDERAL CRIMINAL PROCEDURE FORMS 295 Criminal Forms AO 89B—Subpoena to Produce Documents, Information, or Objects in a Criminal Case 295 AO 100A—Bail Information Sheet. 298 AO 100B—Surety Information Sheet. 299 AO 444—Warrant for the Arrest of a Witness in a Grand Jury Proceeding (Under Seal)..... 301 Criminal Judgment Forms..... 303 AO 245B—Judgment in a Criminal Case 303 AO 245C—Amended Judgment in a Criminal Case..... 328 AO 245D—Judgment in a Criminal Case (for Revocation of Probation or Supervised Release).... 354 AO 245E—Judgment in a Criminal Case (for Organizational Defendants)...... 375 AO 245SOR—Judgment in a Criminal Case (Statement of Reasons)..... 391 Defendant Consent and Waiver Forms 395 AO 86A—Consent to Proceed Before a Magistrate Judge in a Misdemeanor Case... 395 AO 455—Waiver of an Indictment 396 AO 466—Waiver of Rule 32.1 Hearing (Violation of Probation or Supervised Release) 397 AO 466A—Waiver of Rule 5 & 5.1 Hearings (Complaint or Indictment) 398 AO 468—Waiver of a Preliminary Hearing 399 Expungement and Benefit Reinstatement Forms..... 400 AO 246A—Order of Discharge and Dismissal Under 18 U.S.C. § 3607(a) 400 AO 249—Drug Offender's Reinstatement of Federal Benefits 401 Law Enforcement, Grand Jury, and Prosecution Forms..... 402AO 83—Summons in a Criminal Case..... AO 91—Criminal Complaint.... AO 93—Search and Seizure Warrant 405 AO 93A—Search and Seizure Warrant on Oral Testimony..... 407 AO 93B—Anticipatory Search and Seizure Warrant 409 AO 94—Commitment to Another District 411 AO 102—Application for a Tracking Warrant 412AO 103—Order Requiring Assistance in Executing a Tracking Warrant (Under Seal)

vi

vii

TABLE OF CONTENTS

	Page
AO 104—Tracking Warrant	$4\overline{1}4$
AO 106—Application for a Search Warrant	416
AO 108—Application for a Warrant to Seize Property Subject to Forfeiture	417
AO 109—Warrant to Seize Property Subject to Forfeiture	418
AO 190—Record of the Number of Grand Jurors Concurring in an Indictment	420
AO 191—Report of a Grand Jury's Failure to Concur in an Indictment	421
AO 442—Arrest Warrant	422
AO 443—Warrant for the Arrest of a Witness or Material Witness in a Pending	
Criminal Case	424
Presentence and Probation Forms	424
AO 246—Probation Order Under 18 U.S.C. § 3607	426
AO 246B—Order for a Presentence Investigation and Report	433
Pretrial Detention Forms	434
AO 470—Order Scheduling a Detention Hearing	434
AO 471—Order to Detain a Defendant Temporarily Under 18 U.S.C. § 3142(d)	435
AO 472—Order of Detention Order Pending Trial	436
Pretrial Release and Appearance Bond Forms	439
AO 98—Appearance Bond	439
AO 99—Appearance Bond of Witness	441
AO 199A—Order Setting Conditions of Release	443
AO 199B—Additional Conditions of Release	444
AO 199C—Advice of Penalties	446
AO 467—Order Requiring a Defendant to Appear in the District Where Charges are	
Pending and Transferring Bail	447
Subpoena Forms	448
AO 89—Subpoena to Testify at a Hearing or Trial in a Criminal Case	468
AO 90—Subpoena to Testify at a Deposition in a Criminal Case	450
AO 110—Subpoena to Testify Before a Grand Jury	452
Habeas Corpus Petitions Forms	454
AO 241—Petition for Relief From a Conviction or Sentence By a Person in State	
Custody (Petition Under 28 U.S.C. § 2254 for a Writ of Habeas Corpus)	454
AO 242—Petition for a Writ of Habeas Corpus Under 28 U.S.C. § 2241	470
AO 243—Motion to Vacate, Set Aside, or Correct a Sentence by a Person in Federal	
Custody (Motion Under 28 U.S.C. § 2255)	478
INDEX	